



What to Bring

We are often asked the question "What should I bring to my initial session?" The answer depends on the specific questions or issues you would like to address. Many prospective clients want their financial situation analyzed in a comprehensive manner. As such, the list below is representative of the documents we typically review when employed to create a custom financial plan.

Please bring as many of these documents as you have accessible or those that pertain to your specific areas of concern. Please note that while all of these are helpful documents to have on hand, they are not required for our initial session. The most important first step is getting to know you, your family's vision for the future and what you are most concerned about.

- Funds, Annuities, Brokerage and Bank statements
- Employee Pension or Profit-Sharing Statements or Plan Summaries
- Employee Benefit Statements: Medical, Disability and Life Insurance
- Insurance Policy Statements (Life, Health, Auto & Home)
- Income Tax Returns (previous year)
- Recent Pay Stub(s) or W2(s)
- Copies of Trust Agreements, if applicable
- Copies of Wills, Power of Attorneys and Medical Power of Attorneys
- Mortgage Statements with Pay-off and Interest Information
- Social Security Benefit Statements
- Other Statements of Assets or Liabilities that are applicable
- Other Professionals Contact Information if applicable
- Any other documents you would like us to review

Please call us at (513) 733-1750 if you have any questions regarding these documents.



WEALTH PLANNING
CORPORATION



My Concerns through Discovery

Check those that are concerns and provide any notes. Circle Your Top 3 Concerns.

PERSONAL

- Change of Employment _____
- Change of Income _____
- Change of Residence _____
- Change of Marital Status _____
- Improving Health/Fitness _____
- Changing Health of Family Member _____

FINANCIAL

- Amount of Personal Debt _____
- Establishing a Balanced Budget _____
- Being Able to Retire _____
- Income Tax Obligations _____
- Impact of Disability _____
- Cost of Long-term Care _____
- Insurance Coverage and Type _____

INVESTMENT

- Suitable Asset Allocation _____
- Coordination of Total Investment Portfolio _____
- Growing my Money _____
- Generating More Investment Income _____

ESTATE

- Establishing a Will or Trust _____
- Reviewing Current Legal Documents _____
- Management of Affairs after Death _____
- Heir's Ability to Handle Matters _____
- Making Current/Future Gifts _____
- Review of Beneficiary Designations _____
- Estate/Inheritance Taxes _____



Goals & Questions

MONEY

If money were not a concern, what would you like to accomplish? Why is this important to you?

If I had money to give away, who or what organization would I help? Why?

REFLECTION

Of the things I have done, which have brought me the greatest joy? What would I do more of if I had the time?

FORWARD LOOKING

Over the next three years, what would make me feel good about my progress regarding money, profession, family or other areas of your life?

Name:

Date:



WEALTH PLANNING
CORPORATION